

FEDERAL REGULATIONS

When the sponsor provides federal funding for a project, either directly or indirectly as pass-through funds, there are specific compliance requirements. *The State of Texas has adopted these requirements. Be sure to check with state funding entities for specific requirements.*

1. **Statutory Requirements**, which are created when laws are enacted. These requirements take precedence over all other policies and regulations related to sponsored projects.
2. **Office of Management and Budget Circulars (OMB)** for government-wide sponsored project management:

<p>Federal Regulations - The University and the PI/PD, in accepting an award from a sponsoring agency, assume the responsibility for the financial, technical, and administrative aspects of the award. There are five principal sets of regulations that provide guidance in the financial and programmatic management of awards to universities from federal agencies.</p>	
<p>The Office of Budget Management (OMB) circulars outline acceptance practices for institutions that accept government funds in the form of contracts and grants.</p>	
<p>A-21</p>	<p>Principles for Determining Costs Applicable to Grants, Contracts, and Other Agreements with Educational Institutions (Note that A-21 applies to contracts and to subrecipients performing work under federal awards.)</p> <p>http://www.whitehouse.gov/omb/circulars/a021/a021.html</p>
<p>A-110</p>	<p>Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (Note that federal contracts are subject to Federal Acquisition Regulations rather than A-110).</p> <p>http://www.whitehouse.gov/omb/circulars/a110/a110.html</p>
<p>A-133</p>	<p>Audits of States, Local Governments, and Non-Profit Organizations</p> <p>http://www.whitehouse.gov/omb/circulars/a133/a133.html</p>
<p>EDGAR</p>	<p>Administrative regulations for the U.S. Department of Education grants.</p> <p>http://www.ed.gov/policy/fund/reg/edgarReg/edlite-part75f.html</p>
<p>FAR</p>	<p>Federal Acquisition Regulations (FAR) are the primary regulations used by federal sponsors to govern contracts for supplies and services, including research services. FAR incorporates OMB Circular A-21 for the purpose of defining allowable costs. FAR is not applied to grants and cooperative agreements that are governed by OMB Circular A-110, agency grant regulations, or FDP terms and conditions. (Federal contracts typically incorporate all of the FAR clauses applicable to a given project.)</p> <p>http://www.arnet.gov/far/</p>